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ACADEMIC APPOINTMENTS

Syracuse University
College of Law & Whitman School of Management

Professor of Law & Professor of Finance, with tenure (2018 – present)
Associate Professor of Law & Associate Professor of Finance, with tenure (2014 – 2018)
Associate Professor of Law & Associate Professor of Finance (2012 – 2014)
Assistant Professor of Law & Assistant Professor of Finance (2009 – 2012)

- Joint appointment with full voting rights in each school (2009-present)

EDUCATION

Ph.D.	Finance	University of Michigan Ross School of Business (2009)
M.Sc.	Financial Economics	University of London (2002)
J.D.	Law	University of Michigan Law School (1996) (<i>cum laude</i>)
M.G.A.	Government Administration	University of Pennsylvania (1994)
A.B.	Economics, Political Science (with honors)	Brown University (1992) (<i>magna cum laude</i>) (phi beta kappa)

PUBLICATIONS

Business Development Companies: Venture Capital for Retail Investors, 76 THE BUSINESS LAWYER 59 (2021).

Mutual Funds that Borrow, 16 JOURNAL OF EMPIRICAL LEGAL STUDIES 767 (2019) (with Simkovic).

Mutual Fund Capital Structure, 100 MARQUETTE LAW REVIEW 671 (2017).

The Chrysler Effect: The Impact of Government Intervention on Borrowing Costs, 40 JOURNAL OF BANKING AND FINANCE 62 (2014) (with Anginer).

Competition in Financial Services: Evidence from British Mutual Funds, 9 JOURNAL OF EMPIRICAL LEGAL STUDIES 827 (2012).

Do Fiduciary Duties Matter? 11 CORPORATE GOVERNANCE 541 (2011).

Trusts Versus Corporations: An Empirical Analysis of Competing Organizational Forms, 36 JOURNAL OF CORPORATION LAW 183 (2010).

Understanding the Bankruptcies of Chrysler and General Motors: A Primer, 60 SYRACUSE LAW REVIEW 531 (2010).

Should Mutual Funds Be Corporations? A Legal & Econometric Analysis, 33 JOURNAL OF CORPORATION LAW 745 (2008).

WORKING PAPERS

The End of Market Discipline? Investor Expectations of Implicit Government Guarantees (with Acharya and Anginer).

- Revise and resubmit at the JOURNAL OF FINANCE.
- Subject of testimony before the U.S. Senate Banking Committee's Subcommittee on Financial Institutions.

Firm Reputation and the Cost of Debt Capital (with Anginer, Mansi and Yildizhan).

PROFESSIONAL EXPERIENCE

Wells Fargo
Assistant General Counsel (2003 – 2004)

Jenner & Block (Chicago)
Senior Associate, Finance (2002 – 2003)

Global Crossing
Assistant General Counsel, International Project Finance (2000 – 2002)

Milbank, Tweed, Hadley & McCloy (New York, NY)
Associate, Banking & Institutional Investment (1996 – 2000)

CONFERENCE PAPER ACCEPTANCES & INVITED PRESENTATIONS

Conference on Empirical Legal Studies (2018); International Conference on Economic Modeling (2018); National Business Law Scholars Conference (2018); NYU/Penn Conference on Law and Finance (2016); Conference on Empirical Legal Studies (2015); American Finance Association Annual Meeting (2014); Penn/Wharton Financial Institutions Center & Federal Reserve Bank of Philadelphia Conference on Enhancing Prudential Standards in Financial Regulations (2014); University of Chicago Booth School of Business (2014); Banque de France & Toulouse School of Economics (2014); International Atlantic Economic Conference (2014); Harvard-Stanford-Yale Junior Faculty Forum (two papers) (2013); American Law and Economics Association Annual Meeting (2013); Federal Reserve Bank of Minneapolis (2013); NYU Stern School of Business Conference on Too Big To Fail (2013); George Washington University Law School Center for Law, Economics & Finance Junior Faculty Workshop (2013); Federal Deposit Insurance Corporation's 13th Annual Bank Research Conference (2013); Conference on Empirical Legal Studies (2012); Northern Finance Association Annual Meeting (2012); Stanford-Yale Junior Faculty Forum (2011); Conference on Empirical Legal Studies (2011); American Law and Economics Association Annual Meeting (2011); Federal Reserve Bank of Cleveland Conference on Resolving Insolvent Large and Complex Financial Institutions (2011); Northern Finance Association Annual Meeting (2011); Michigan Ross School of Business (2011); Conference on Empirical Legal Studies (2010); European Corporate Governance Institute/Guberna European Corporate Governance Conference (2010); International Banking, Economics & Finance Association Annual Meeting (2010); Syracuse University Conference on Regulating Economic Regulators During Turbulent Times (2010); George Mason School of Law (2009); Financial Services Institute & St. John's Tobin College of Business Symposium on Financial Services Regulation (2009); Southern Finance Association Annual Meeting (2009); Michigan Law School (2008); Missouri Law School (2008); Michigan Ross School of Business (2008); Georgia State (2008); U.S. Securities and Exchange Commission (2008); Northwestern Law School (2007); Midwest Law & Economics Association Annual Meeting (2007) (includes co-authors)

RESEARCH GRANTS (selected)

John Templeton Foundation (over \$100,000)

World Bank (\$50,000)

Curriculum Innovation Grant, Syracuse University Whitman School

TEACHING

Commercial Transactions (law), Financial Management (business), and Corporate Financing Transactions (law and business) at Syracuse University. Corporate Finance at the University of Michigan.

BAR ADMISSIONS

New York; District of Columbia; Illinois

MEDIA COVERAGE

Research profiled in: *Bloomberg*, *New York Times*, *Christian Science Monitor*, *Weekly Standard*, *Washington Post*, *Chicago Tribune*, *San Francisco Chronicle*, and others

NOTABLE CITATIONS

Court of Appeals for the Second Circuit, International Monetary Fund, World Bank, U.S. Financial Stability Oversight Council, Economic Report of the President of the United States, U.S. Government Accountability Office, numerous Congressional hearings and reports